

Profile

Christopher

First Name

T

Middle Initial

Bennett

Last Name

[Empty text box for Email Address]

Email Address

[Empty text box for Street Address]

Street Address

[Empty text box for Suite or Apt]

Suite or Apt

[Empty text box for City]

City

[Empty text box for State]

State

[Empty text box for Postal Code]

Postal Code

Mailing Address (if different than Resident Address above)

[Empty text box for Mailing Address]

[Empty text box for Primary Phone]

Primary Phone

[Empty text box for Alternate Phone]

Alternate Phone

Length of Residence in the City of Napa:

20 years in the City of Napa

Length of Residence in the County of Napa:

20 years in Napa County

Registered to vote in the City of Napa?

Yes No

United States Congressman
Mike Thompson

Employer

United States Congressional
Intern

Job Title

Ethnicity *

Caucasian/Non-Hispanic

Interests & Experiences

Which Boards would you like to apply for?

Disability Access Board of Appeals: Submitted

Question applies to Disability Access Board of Appeals

**3 of 5 Members MUST serve on the Building & Fire Code Board of Appeals.
Are you also applying to serve on the Building & Fire Code Board of Appeals?**

Yes No

Question applies to Disability Access Board of Appeals

2 of 5 Members MUST be part of the Disabled Community. Are you part of the Disabled Community?

Yes No

Upload a Resume

Community Service Experience:

I volunteered my time to our City of Napa Community with St Marys Church Napa on Third Street on Sundays serving, lay reading in the 10 am church services on Sunday mornings once a week, the Episcopal Church of the Northern California Dioease I'm the Former State of California State Senate Intern of 2005, appointed to serve the State of California Council on Developmental Disabilities of the State of California 2005+2008, the United States Congress and the House of Representatives, advocated for our City of Napa Community, willing to advocate for the Napa County library to improving the quality life that is Napa, serve our City of Napa Disability Community in accessing the Disability Access Board of Appeals in the Disability Appeals Community for Disability Services.

Education:

Riverside Poly High School Riverside, CA 1997-2001 Cape Cod Community College 2001-2003 Napa Valley College 2003-2004

Other relevant experience or expertise:

Basic Retail and Offuce Technology Office Technician

Additional Questions

What is your understanding of the role and responsibility of this board?

I understand the Disabled Access Laws, provide feedback, advices staff members on disability access laws

Have you ever attended a meeting of this board? If so, how many?

it's my first time attending board meetings

What duties of this board are most interesting to you?

What activities of this board are least interesting to you?

Disability Community Member activities within the City of Napa Disability Community of the office

What programs or projects would you like to see improved or implemented?

providing programs disability access to appeals in protecting disability rights of the disability community or projects.

How would you approach improving these project(s) or program(s)?

providing Appeal Access Planning in improving the justice system for the disability community.

Are you involved in any organizations or activities that may result in a conflict of interest if you are appointed to this board?

I. keep my Organizations, Activities separate on my own time without affecting results to a conflict of interests if I'm appointed to the Board.

Please list two local references and their phone numbers:

How did you learn of this vacancy?

Internet

CHRISTOPHER THOMAS BENNETT

OBJECTIVE

I am seeking employment in an office environment where I can utilize my education, customer service, and computer skills.

LEADERSHIP ROLES

- National Down Syndrome Congress-Council member.
- State of California, Area Board 4 Office of the State Council on Developmental Disabilities- Board member.
- State Senator's Office - Campaign helper.
- Positive role model, advocate for People with Disabilities and public speaker.
- State Representative.

EXPERIENCE

UNITED STATES DISTRICT INTERN MIKE THOMPSON (PIP)
03/26/2024-06/13/2024
COURTESY CLERK RALEY'S APRIL 2023-01/21/2024

NOVEMBER 2022-MARCH 2023

UTILITY CLERK, GROCERY OUTLET, NAPA, CA

Outstanding customer service to all customers I encounter. Some of my responsibilities are to ensure all the sweeps, mops, trash, spills, bathrooms, and all areas of the store are cleaned and stocked to company standards. I also do any other duties requested by my management team.

OCTOBER 2018-SEPTEMBER 2022

HOUSEKEEPING, MERITAGE SPA AND RESORT, NAPA, CA

Provide excellent customer service. Sweeps, mops, and vacuums floors in assigned areas according to department procedures.

Dusts all furniture, pictures and polishes wood, marble, and other materials in public view. Cleans glass windows, doors, and partitions. Empties trash receptacles and replaces trash bags. Thoroughly cleans

restrooms, including sinks, toilets, urinals, mirrors, tiles, counters, walls, stalls, vents and/or floors with appropriate cleaning agents. Immediately reports any noted repairs or maintenance of guestroom, public areas, or back of the house areas. May assist with other housekeeping duties as needed. Returns any items found in public areas to the housekeeping department and logs appropriate information. Notifies managements of unsafe conditions, needed maintenance of any rooms or equipment and any accidents.

APRIL 2010-OCTOBER 2018**HOUSEKEEPING CREW, WINE BEV AT MERITAGE JULY 2017
PRODUCTION • WINE BEV, NAPA, CA**

Worked onsite and offsite of the warehouse including Sutter Home Winery, has been a representative for Wine Bev Services, is a model employee and leader to his peers by working all jobs offered along with excellent attendance. Motivates coworkers with positive "can do" attitude. On site work: wine packing, production, foam insert, palletizing and wrapping pallets, poly bags and any jobs available. Housekeeping: maintain grounds, parking lots, pool area and patio furniture.

APRIL 2005 - MARCH 2009**DINING ROOM ATTENDANT • TACO BELL, NAPA, CA**

Provided outstanding customer service, cleaned tables, chairs and condiment area along with stocking napkins, sauces, and utensils. Emptied trash bins, cleaned bathrooms, swept, and mopped the lobby. Performed extra duties as asked by management.

2003-2004**TICKET TAKER • CENTURY 21, NAPA, CA****2003****DOOR PERSON • HOYT'S CINEMA, CAPE COD, MA****EDUCATION****2001-2003**

RIVERVIEW SCHOOL, EAST SANDWICH, MA

2001-2003

CAPE COD COMMUNITY COLLEGE, EAST SANDWICH, MA

June 2001

HIGH SCHOOL DIPLOMA • RIVERSIDE POLY HIGH SCHOOL,
RIVERSIDE, CA

Local disability rights activist has a new gig: Intern

KATIE DEBENEDICTI

Christopher Bennett, a long-time disability rights activist in Napa, has a new gig. This spring, he became one of the first people with Down syndrome to serve as an intern for the U.S. House of Representatives. He greets constituents, supports residents with disabilities, and advocates for those with Down syndrome at Mike Thompson's (D-St. Helena) Napa office.

The internship with Thompson became official in March, but Bennett said that he's known Thompson for much longer. He has long been an advocate for himself and others with Down syndrome for many years, lobbying for better representation and rights at the local, state and federal levels. He remembers meeting Thompson back in 2004, while working as an intern for Assemblymember Wesley Chesbro. The two members shared an office building in downtown Napa at the time.

"[Chesbro's] office was upstairs, and every time I got to my previous internship, I would see Representative Mike Thompson when I was going upstairs,"



COURTESY CHRISTOPHER BENNETT

U.S. Rep. Mike Thompson and Christopher Bennett. Bennett started working in Thompson's office in March 2024.

Bennett remembers. "He would always say 'Hi Chris, how are you doing today, what are you up to?' Now, 19 years later, here I am."

Bennett has had a long and career as a disability rights activist — he joined the National Down Syndrome Society back in middle school almost 30 years ago, studied American Government throughout his academic career, including in college, and, since moving to Napa, he's become a familiar face at governmental meetings. After interning with Chesbro's office, Bennett served on the Developmental Disabilities Board in Sonoma County.

In 2018, he was chosen for the National Down Syndrome Congress's Christian Pueschel Memorial Citizen Award, founded by Dr. Siegfried Pueschel, an advocate and the author of several books about Down syndrome and the importance of self-advocacy.

This was a full circle moment for Bennett, who had been attending conventions hosted by the nonprofit, which is dedicated to improving the lives of individuals and families living with Down syndrome, for years.

He also spoke at the National Down Syndrome Congress's convention in 2017 about a booklet he wrote, a self-advocacy toolkit, which informs people with the

condition about how to get involved in legislative advocacy.

Of course, Bennett has also been busy in his personal life since moving to Napa more than 20 years ago — he's moved into his own living space, gotten married, and built a community here.

Now, he's excited to serve the local community. Earlier this year, he was invited to attend the Disability Policy Seminar in Washington, D.C., to represent the Napa area and discuss key issues facing people with disabilities. Bennett said he even ran into his new boss on Capitol Hill.

"I was back and forth between employment and I had experience as a volunteer intern [with Chesbro]," Bennett said. "That inspired me to work for the Congressman, because of my connection and how long we knew each other. I figured why not work for the guy?"

Bennett's favorite part of his new job is welcoming visitors to the office on Napa Valley Corporate Drive.

"When constituents come to the office, I get to greet them and open the door for them. I like putting the customer first," he said.



Profile

Tracy H Brock
First Name Middle Initial Last Name

Email Address

Street Address

Suite or Apt

City

State

Postal Code

Mailing Address (if different than Resident Address above)

Mailing Address

Primary Phone

Alternate Phone

Length of Residence in the City of Napa:

10 years

Length of Residence in the County of Napa:

10 years

Registered to vote in the City of Napa?

Yes No

Retired
Employer Job Title

Ethnicity *

Caucasian/Non-Hispanic

Interests & Experiences

Which Boards would you like to apply for?

Disability Access Board of Appeals: Submitted

Question applies to Disability Access Board of Appeals

3 of 5 Members MUST serve on the Building & Fire Code Board of Appeals. Are you also applying to serve on the Building & Fire Code Board of Appeals?

Yes No

Question applies to Disability Access Board of Appeals

2 of 5 Members MUST be part of the Disabled Community. Are you part of the Disabled Community?

Yes No

Upload a Resume

Community Service Experience:

Mill Valley School District - Parent Teacher Association

Education:

BS Business Administration, University of Texas at Dallas - 1985

Other relevant experience or expertise:

Retired C-Suite Bank Executive. Served as Chief Compliance Officer with a team of 300+ employees. Have a clear understanding of implementing and following rules, regulations and requirements.

Additional Questions

What is your understanding of the role and responsibility of this board?

As described in the roles & responsibilities section of the Board Committee.

Have you ever attended a meeting of this board? If so, how many?

No

What duties of this board are most interesting to you?

Being of service to my Napa neighbors

What activities of this board are least interesting to you?

None

What programs or projects would you like to see improved or implemented?

Would like to ensure implementation of planned projects. I have no recommendations for new projects.

How would you approach improving these project(s) or program(s)?

N/A

Are you involved in any organizations or activities that may result in a conflict of interest if you are appointed to this board?

No

Please list two local references and their phone numbers:

How did you learn of this vacancy?

Internet

TRACY H. BROCK

CHIEF COMPLIANCE OFFICER & AML EXECUTIVE

Action-oriented, reliable, and reputable CCO who brings improvements and efficiencies to compliance programs. Leverages 37 years' experience and progressive accountability in both banking and broker-dealer environments to enable industry-leading organizations. Known for reengineering underperforming compliance areas to excellence and resolving multi-year, persistent regulatory and audit issues, enabling business growth. Change oriented and risk savvy with a focus on driving cultural change. Brings exceptional leadership and communication skills that foster trust, both internally and externally. Collaborates with key stakeholders to advance organizational objectives. A confident and refined communicator to Executive and Board Committees.

BANK OF THE WEST: As a member of the Executive Management Committee, served as 1 of 15 Senior Executives to lead the Bank's overall planning, policy, governance, reporting, and decision-making.

SENIOR LEADERSHIP EXPERIENCE

BANK OF THE WEST, A DIVISION OF BNP PARIBAS

A financial services company with 10,000 employees, \$100B in assets, 600+ branches, and offices in 24 states.

EVP, CHIEF COMPLIANCE OFFICER

2019 TO 2023

Served as board-appointed executive in charge of all enterprise-wide Compliance programs, reporting directly to the CEO and President. Established standards and implemented procedures ensuring compliance programs were effective and efficient in identifying, preventing, detecting, and correcting noncompliance. Led a team of 300+ FTE. Worked closely with the Executive Management Committee to prioritize and execute high-level, strategic initiatives.

NOTABLE ACCOMPLISHMENTS

- Led strategic compliance organization changes to reduce redundancies and drive efficient processes.
- Eliminated all past due regulatory and audit compliance findings within six months of assuming the role.
- Achieved staffing efficiency and cost savings by relocating 75% of the department to lower-cost geographies.
- Served as a mentor to develop top talent, leading to internal promotions and strong employee engagement.

SVP, HEAD OF BSA/AML/OFAC

2015 TO 2019

Reported to the CCO, served as the Board-appointed BSA Officer responsible for the enterprise-wide BSA/AML and OFAC programs. Designed and spearheaded the resolution of significant regulatory issues, brought the programs back to satisfactory status, and drove continuous improvements to ensure sustainable results year over year. Actively participated in the Bank's Senior Management Committee.

NOTABLE ACCOMPLISHMENTS

- Headed the 18-month effort to remediate significant regulatory issues and win back the trust of regulators.
- Rebuilt the entire management team from scratch, and brought the program to a satisfactory state.
- Successfully led the implementation of a new transaction monitoring system on time and under budget.
- Took the enterprise compliance programs from hundreds of findings to zero findings within 24 months.
- Led alignment efforts to parent company requirements for AML, KYC, and Sanctions.

TRACY H. BROCK

CHIEF COMPLIANCE OFFICER & AML EXECUTIVE

SENIOR LEADERSHIP EXPERIENCE

MUFG UNION BANK

A full-service bank with 12,000 employees, \$135B in assets, 400 branches, and offices in the Western US.

MANAGING DIRECTOR, HEAD OF BSA/AML/OFAC

2006 TO 2015

Board appointed executive officer responsible for the enterprise strategy and execution of the BSA/AML and OFAC programs.

Initially reporting to the BSA Officer, originally hired to be a part of a team to remediate a major regulatory order that the Bank was under; accomplished the goal within 12 months. Promoted to Deputy BSA Officer with responsibility for all non-operational areas of the enterprise-wide BSA/AML and OFAC programs. Ultimately appointed by the Board of Directors as the BSA Officer with the responsibility for all program elements. Led a division of 180 FTEs. Drove effectiveness, efficiency, agility, and sustainability in the programs. Developed and maintained the trust of executive management, regulators, and the Board.

NOTABLE ACCOMPLISHMENTS

- Built, retained, and engaged a high-performing team essential to driving compliance, organization-wide process improvements, and programmatic excellence.
- Implemented numerous operational improvements that improved productivity and allowed increased focus on other areas of risk.
- Cleared all regulatory issues before leaving. This was the first time in 10 years the Bank had a 'clean slate.'
- Mentored, coached, and promoted multiple team members into senior-level positions.

ADDITIONAL EXPERIENCE

BSA SENIOR COMPLIANCE OFFICER Silicon Valley Bank	2004 to 2006
1 ST VP, COMPLIANCE RISK AND MONITORING PROGRAM MANAGER Washington Mutual	2003 to 2004
DIRECTOR, RETAIL COMPLIANCE Charles Schwab & Co.	1999 to 2003
VICE PRESIDENT, CHIEF COMPLIANCE OFFICER MUFG Union Bank Broker-Dealer	1991 to 1999
COMPLIANCE EXAMINER FINRA	1986 to 1991

EDUCATION

(BS) BACHELOR OF SCIENCE IN BUSINESS ADMINISTRATION | CONCENTRATION IN FINANCE UNIVERSITY OF TEXAS AT DALLAS

American Bankers Association, Graduate School of Compliance Management
American Bankers Association, National School of Compliance