
Profile

Cary

First Name

S

Middle Initial

Robnett

Last Name

Email AddressStreet AddressSuite or Apt

Napa

City

CA

State

94559

Postal Code

Mailing Address (if different than Resident Address above)

Home:

Primary Phone

Mobile:

Alternate Phone**Length of Residence in the City of Napa:**

21

Length of Residence in the County of Napa:

21

Registered to vote in the City of Napa?

☒ Yes ☐ NoRetired (U.S. Securities and
Exchange Commission)

Employer

At retirement: Assistant Regional
Director, San Francisco Regional
Office

Job Title

Ethnicity

☒ Caucasian/Non-Hispanic

Interests & Experiences**Which Boards would you like to apply for?**

Cultural Heritage Commission: Submitted

Question applies to Cultural Heritage Commission, Planning Commission

Some Commissions and Boards require specific seats to be designated as "Design Professionals". Are you applying as a Design Professional? If you have marked "YES", please provide details under "Relevant Experience" in this section. You may attach your resume below.

☐ Yes ☒ No

[Upload a Resume](#)

Community Service Experience:

Since returning to Napa in 2016, I have: -- Volunteered my gardens for Napa's Bay-Friendly garden tour -- Volunteered at Auction Napa Valley -- Served as a volunteer Venue manager for the Napa Valley Film Festival -- Served on the Napa County Grand Jury (an annual volunteer investigative Jury, not a Jury associated with court or trial processes)

Education:

Stanford Law School: J.D. 1992 Georgetown University: M.S.F.S. 1984 Stanford University: B.A. 1982

Other relevant experience or expertise:

Having grown up in Napa (Napa High class of 1977), and then returning to Napa after I retired, I was struck by the remarkable changes in the downtown area. I now own two historic homes downtown, one which I rent out to long term renters, and one in which I live. I have gone through the process of renovating both to varying degrees and I am an avid supporter of the historic jewels we all enjoy in our beautiful old town neighborhoods. I would love to volunteer my analytical and team management skills to the city by serving on the Cultural Heritage Commission when an opening becomes available.

Additional Questions

What is your understanding of the role and responsibility of this board?

Based upon my observation of the Cultural Heritage Commission in action, I understand the time and thought each of the members must devote to the issues before them. Clearly, the discussion at the meetings is just a fraction of the work involved. Reading all of the materials and thinking about all of the competing interests and relevant laws and regulations before the meeting must take a great deal of time. I have a great deal of experience reading, thinking, and discussing complex issues. While I admittedly have no experience as a commission member, I can bring the skills needed to be a meaningful contributor. I have carefully read the municipal code sections outlining the responsibilities of the board and will not restate them here. Rather, I'll summarize by saying that my understanding is that the Commission both develops policy recommendations regarding the preservation of Napa's historic resources and translates past decisions into specific recommendations for action.

Have you ever attended a meeting of this board? If so, how many?

Yes. I have never had a matter before the board, but I have attended 2 meetings.

What duties of this board are most interesting to you?

I want to encourage homeowners and developers to embrace and celebrate the historic housing stock in the old town area, rather than view the requirements as an infringement on their ownership rights. Having said that, I understand the tension that can arise when rules are applied inflexibly or in a manner that prevents any viable economic use of a historic building. The balancing is complex. I am eager to help with that balancing process.

What activities of this board are least interesting to you?

I don't feel I fully understand the Commission's role in making recommendations regarding the Mills Act. It seems the role is very circumscribed. It is less interesting to me at this time but I suspect I may find it very interesting when I have a better understanding of the process.

What programs or projects would you like to see improved or implemented?

To encourage awareness of our historic buildings, including private residences, a reference resource for walking tours might be something the Commission could encourage. The materials could be both for tourists and residents. I've actually had a concierge from a local hotel come by my little house for a look as she was educating herself on architectural styles. There is clearly interest in our historic homes, both big and small. Napa Municipal Code Section 2.70.060.2 states that the Commission will "Make recommendations to encourage or facilitate the preservation of the city's historic resources, including programs for public information, educational and interpretive programs." I have not seen such programs, although I admittedly may have missed something. I'm a member of the Historical Society and have taken their tours but what if the Commission partnered with them for more fun educational projects? For instance, perhaps the Commission could help the Historical Society develop a "how to" resource to help owners of historic properties research the history of their home. I recently used some of the resources over at the Goodman Library to learn more about the history of my 1893 home. It was a walk through Napa history, with tanners and grocers, and even periods when the home sat vacant for years. It was fascinating and fun, but there are other aspects I did not pursue such as records help by the City of Napa. It would be great to pull together information on those various resources to advance appreciation of our historic properties.

How would you approach improving these project(s) or program(s)?

Historic homeowners are proud of their homes. I would reach out to the Liz Alessio (currently leading the Historical Society) to gauge interest in creating a resource on how to research the history of private homes. In addition, I would offer to research and prepare a first draft of the materials. I would shepherd the draft through any approval processes. Once complete, I would then reach out to the Register as well as NextDoor to spread news of the resource. As part of that outreach, we could encourage people to pursue the research for their home, and then have an exhibit event in which people display a photo of their historic home and a summary of the history they have discovered. I think very low cost activities like this can really build excitement for some of the beautiful little historic homes that are scattered around the city.

Are you involved in any organizations or activities that may result in a conflict of interest if you are appointed to this board?

No, although I own two historic cottages in the old town area. I do not plan on any external renovations in the next couple of years.

Please list two local references and their phone numbers:

Cheri Permar Stanley [REDACTED] Judy Schneider [REDACTED]

How did you learn of this vacancy?

☒ Other

Cary Robnett

[REDACTED], Napa, CA 94559
[REDACTED]

EMPLOYMENT

- Presently retired Since 2015
- U.S. Securities and Exchange Commission 1998-2001; 2003-2015
 Various positions culminating in Assistant Regional Director
 in the San Francisco Regional Office.
- Robertson Stephens 2001-2003
 Associate General Counsel for Litigation at San Francisco investment bank
- Howard, Rice, Nemerovski, Canady, Falk & Rabkin 1995-1998
 Litigation Associate
- Wilson, Sonsini, Goodrich & Rosati 1992-1995
 Litigation Associate in the Securities Litigation group
- U.S. Defense Technology Security Administration 1995-1998
 Responsible for developing and negotiating limitations technology
 exports to the former Soviet Bloc and China.

EDUCATION

Stanford Law School	J.D. 1992
Georgetown University School of Foreign Service	M.S.F.S. 1984
Stanford University	B.A. 1982

INTERESTS

- Landscaping and gardening: Created a garden featured on Napa's Bay Friendly Garden tour.
- Creative Arts: mosaic, yard art, glass art
- History: Member of monthly non-fiction history book club

Profile

Beverly

First Name

Shotwell

Last Name

Email Address
Street Address
Suite or Apt

Napa

City

CA

State

94559

Postal Code

Mailing Address (if different than Resident Address above)

Home:

Primary Phone

Mobile:

Alternate Phone**Length of Residence in the City of Napa:**

13 years, 6 months

Length of Residence in the County of Napa:

17 years, 6 months

Registered to vote in the City of Napa?

☒ Yes ☐ No

Colgin Cellars

Employer

Business and Sales Manager

Job Title

Ethnicity

None Selected

Interests & Experiences**Which Boards would you like to apply for?**

Cultural Heritage Commission: Appointed

Question applies to Cultural Heritage Commission, Planning Commission

Some Commissions and Boards require specific seats to be designated as "Design Professionals". Are you applying as a Design Professional? If you have marked "YES", please provide details under "Relevant Experience" in this section. You may attach your resume below.

☐ Yes ☒ No

Upload a Resume

Community Service Experience:

Citizens Academy, City of Napa, Spring 2016 Cultural Heritage Commission, 2014-Present

Education:

See attached resume

Other relevant experience or expertise:

Having now served 3 terms on this commission, I have gained a vast amount of knowledge of historic preservation. I was honored to attend the 2018 California Preservation Conference held in Palo Alto. The 3 full days of workshops and sessions (including outstanding tours of historic districts and properties in Palo Alto) was extremely informative and enlightening. I continue to attend webinars presented by the California Preservation Organization which have helped me to expand my knowledge of best practices for historic preservation set forth by the Secretary of Interior's Standards.

Additional Questions

What is your understanding of the role and responsibility of this board?

I have a very clear understanding of the role and responsibility of this commission.

Have you ever attended a meeting of this board? If so, how many?

Many

What duties of this board are most interesting to you?

It is my specific goal and personal "duty" to help "move things along" and to be the "voice of reason" in helping our commission to be sensitive to the challenges of maintaining a historic home. It is also my goal and duty to gain a clearer understanding of the fees associated with obtaining permits and getting approval by the CHC and Planning Commission so that I can continue to represent the historic home owners in my pursuit to help preserve the integrity of our many historic gems!

What activities of this board are least interesting to you?

Public speaking.

What programs or projects would you like to see improved or implemented?

My goals have not changed since my last application therefore, I will reiterate the following: 1. Elegant and well-placed signage that encourages locals, tourists and developers to gain a deeper understanding of our cherished history. 2. I would like to implement a program to provide new homeowners of historic homes (and real estate agents that sell historic homes) a clearer understanding of the ways to save costs on improving or upgrading historic homes without the fear of the many fees associated with gaining permits and the additional fears of the process taking many months. In addition to those previously stated items, I'd also like to see the Thomas Earls House renovated and refurbished in the near future before it falls down. Much like the adorable Italianate property that was finally moved from Polk Street to Calistoga Avenue and is one of our greatest achievements in saving a property that appeared to be "doomed". And I'll take this opportunity to say that the CHC was instrumental in saving The Old Adobe and I was quite vocal about making certain that we did not let that historic icon deteriorate. There is no place on this application to brag so I used this space.

How would you approach improving these project(s) or program(s)?

I would like to continue my work on the Sub-Committee for Historic Signage as we have made great progress in the last two years with our efforts. We successfully worked with the Planning Department, and a very talented graphic designer to create and install signage within the Calistoga Avenue District. We now need to move full steam ahead with finding a location for the Monument Sign for that district. Once our work is done in the Calistoga Avenue District, we will need to proceed with signage for the Napa Abajo-Fuller Park district.

Are you involved in any organizations or activities that may result in a conflict of interest if you are appointed to this board?

No.

Please list two local references and their phone numbers:

Paul Kelley [REDACTED] Karen Wesson [REDACTED]

How did you learn of this vacancy?

☒ Other



City Clerk

July 25, 2016

Beverly Shotwell

Napa, CA 94559

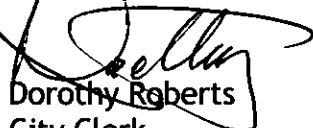
Dear Beverly,

Congratulations on your re-appointment as a member of the Cultural Heritage Commission. Your term is effective through June 30, 2018. Please let us know if there is anything our office can provide to you to make your role as a commission member easier.

As per City policy and the Common Law Principle of Incompatibility of Offices, please advise us of any other local commissions, committees and/or boards you now serve on, or are appointed to in the future.

We are here to help and hope that you will continue to enjoy the personal benefits that come from community service. Actively engaged citizens like you make a positive impact for our City. On behalf of the City Council, please accept their sincere appreciation for your willingness to stay actively involved in your community, and we hope your experience will be enjoyable and satisfying.

Sincerely,



Dorothy Roberts
City Clerk

cc: *Commission Manager*
Staff Secretary

BEVERLY WILES SHOTWELL

[REDACTED]
Napa, CA 94559

PROFILE

Energetic and charismatic Business and Sales Manager with more than 25 years experience, including 18 years of overseeing the operations of a successful winery in Napa Valley, California. Dedicated professional is passionate about wines and the wine industry. Supported numerous startup businesses where resourcefulness and keen attention to details played key parts in the success and profitability of the company. Quality-oriented professional with a reputation for service, satisfaction and results developed an exceptional record in small business management, finances and staff. Intuitive executive provides objective advice, expertise and specialist skills with the aim of creating value, maximizing growth or improving the business performance of clients.

Historic home owner, downtown Napa and ambassador for the City of Napa. As a lover of all things old, including people, Beverly is actively involved in helping to preserve the original integrity of Napa's historic properties. An avid environmentalist who embraces modernism and contemporary structures while honoring historic architecture.

EXPERIENCE

Business and Sales Manager, Colgin Cellars, St. Helena, CA - 1997-Present

City of Napa, Cultural Heritage Commissioner - 2014-Present

Housing Element Advisory Board, City of Napa - 2014-2015

Notary Public - 2012-Present

EDUCATION

NAPC CEQA Training 2015

NAPC Commission Camp Training 2015

Napa Valley College, 2010

University of California Davis Extension and Berkeley Extension, CA 2009

Wine & Spirit Education Trust, CA 2008

West Los Angeles College, CA 1989

San Pedro College, CA 1987

Profile

William

First Name

A

Middle Initial

Tuikka

Last Name

Email AddressStreet AddressSuite or Apt

Napa

City

CA

State

94558

Postal Code

Mailing Address (if different than Resident Address above)

Business:

Primary Phone

Mobile:

Alternate Phone

Length of Residence in the City of Napa:

31

Length of Residence in the County of Napa:

31

Registered to vote in the City of Napa?

☒ Yes ☐ No

Retired

Employer

Retired historic preservation
planner

Job Title

Ethnicity

☒ Caucasian/Non-Hispanic

Interests & Experiences**Which Boards would you like to apply for?**

Cultural Heritage Commission: Submitted

Question applies to Cultural Heritage Commission, Planning Commission

Some Commissions and Boards require specific seats to be designated as "Design Professionals". Are you applying as a Design Professional? If you have marked "YES", please provide details under "Relevant Experience" in this section. You may attach your resume below.

☒ Yes ☐ No

Upload a Resume

Community Service Experience:

Montachusett Regional Planning Commission, Massachusetts 1978 -1980 Volunteer Docent, East Bay Regional Park District 1985 City of Napa Tree Commission 1990 -1999 Napa County Landmarks Volunteer 2010 - present Napa County Landmarks Board Member 2012 - present Napa County Landmarks Board Vice-President 2015 - present Eagle Cycling Club, Volunteer 1995 -present

Education:

Fitchburg State University, Fitchburg, MA BS Geography, Secondary Teaching Credential, 1972 San Francisco State University, San Francisco, CA 45 graduate units in Social Psychology, Public Administration, 1980 to 1983 San Jose State University Urban Planning Masters Urban Planning, 1989

Other relevant experience or expertise:

Previously employed as a historic preservation planner by the City of Vallejo since February 2003 until October 2018. Position involved staff to Vallejo's preservation commission (AHLC) since 2004 and responsible for all phases of Vallejo's preservation program. Additionally, served as Vallejo's Certified Local Government Coordinator. Previous experience working on preservation projects for the City of San Rafael.

Additional Questions

What is your understanding of the role and responsibility of this board?

The Cultural Heritage Commission (CHC) is responsible for determining if projects are consistent with Napa's preservation policies set forth in the Municipal Code and for determining consistency with the Secretary of the Interior's Standards and any applicable local plans. The CHC is also responsible for advising the Council on a range of historic preservation issues, including designation of local, state, and Federal landmarks, and historic districts.

Have you ever attended a meeting of this board? If so, how many?

I am currently a member of the CHC.

What duties of this board are most interesting to you?

I am committed to preservation issues on the local level and would like to bring more awareness of historic preservation to Napa's property owners. I enjoy participating in the outreach efforts that furthers the CHC's objectives and visibility. My expertise is in applying the Secretary's Standards and the Standards required by the City of Napa's Municipal Code with regard to properties on the Historic Resources Inventory

What activities of this board are least interesting to you?

Most aspects of preservation and public outreach are of interest to me. I cannot think of any items or activities that the CHC has engaged in during my tenure that I was not interested in!

What programs or projects would you like to see improved or implemented?

I would like to see a process where the Commission can implement a "sub committee" that would meet informally with applicants to assist in project compliance on minor projects that do not have to come to a public hearing. I would also like to assist in preparing programs that would provide for more public visibility of preservation issues that affects Napa's homeowners. This could be accomplished through workshops and publications. I also believe that the Commission should have 7-members in order to allow commission members more participation in sub-committees.

How would you approach improving these project(s) or program(s)?

In addition to participation by CHC members in outreach activities mentioned above, I would like to involve other preservation experts to assist in the training and workshops. I would also like to encourage participation and assistance from interested homeowners who would be interesting in volunteering to help with workshops.

Are you involved in any organizations or activities that may result in a conflict of interest if you are appointed to this board?

I serve as a Board member for Napa County Landmarks, and also on the Napa County Landmarks Projects Review Committee. I do not believe that my work on these NCL committees would be in conflict. Furthermore, having worked in local government for over 25 years, I am familiar with the applicable conflict of interest rules and policies.

Please list two local references and their phone numbers:

Ernie Schlobohm [REDACTED] Napa, CA 94559 [REDACTED] Dan Cutright, [REDACTED]
St. Napa CA [REDACTED]

How did you learn of this vacancy?

☒ Other

William A. Tuikka, AICP

[REDACTED], Napa, CA 94558 [REDACTED]
[REDACTED]

Professional Experience

February 2003 - October 2018 City of Vallejo, Vallejo, CA

Senior Planner

- Staff Secretary to the Architectural Heritage and Landmarks Commission. (AHLC) Prepare and present staff reports to the AHLC, prepare agenda and commission packet for monthly meeting, supervise planning projects in Vallejo's three National Register Historic Districts.
- Certified Local Government (CLG) Coordinator responsible for regulating and enforcing appropriate state and local requirement and regulations for the designation and protection of historic properties.
- Process land use development/zoning applications for residential and commercial projects throughout the City; interpret and implement the zoning code, state and federal land use laws and regulations; prepare zoning code text amendments and Ordinances; develop and manage project schedules; conduct research and analysis; provide general land use and planning and zoning regulations to the public and other city departments; provide oversight and advise planners and other city staff; prepare and present effective and concise staff reports to various commissions, City Council and public agencies.
- Manage planning consultant's preparation of environmental documents when necessary.

January 1990 to February 2003 City of San Rafael, San Rafael, CA

Associate Planner

- Major responsibilities in current planning processing all types of land use applications and preparing and presenting planning reports to Boards and Commissions.
- Staff Secretary to the Design Review Board: Prepare and present staff reports to Design Review Board and supervise planning staff with presentations to the Board.
- Staff liaison to the Cultural Affairs Commission: Prepare reports for presentation to the Commission regarding changes to historic properties in San Rafael.
- Managed several large projects involving Environmental Impact Reports.
- Acting Zoning Administrator 1995 to 2003.

October 1987 to January 1990 City of San Rafael, San Rafael CA

Assistant Planner

- Major responsibilities in current planning processing all types of land use applications as well as assisting with research for the revision and updating of the General Plan and Zoning Ordinance.
- Provided general land use planning and zoning regulations to members of the public and other city departments.

September 1986 to October 1987 City of Palo Alto, Palo Alto, CA

Planning Technician

- Reviewed applications for residential, commercial, and light industrial development applications for compliance with zoning regulations
- Assisted in research for long range planning projects as directed by the Senior Planner.
- Provided zoning and land use information to public and other city departments.

January 1986 to October 1986 East Bay Regional Park District, Fremont, CA

Interpretive Naturalist

- Planned and presented programs focused on the interpretation of a working 1880's farm at the Ardenwood Historic Farm, Fremont, CA

Education

August 1986 to December 1989 - San Jose State University, San Jose, CA

Master's program in Urban and Regional Planning

- Emphasis in Historic Preservation and Urban Design

Sept. 1980 to June 1984 San Francisco State University, San Francisco, CA

Graduate Social Psychology Program

- Completed 45 graduate units in Organizational Development , Public Administration and Social Psychology

June 1972 Fitchburg State University, Fitchburg, MA

BS ED Geography

- Bachelor's Degree in in Geography with MA secondary teaching credential

**Professional
Credentials/
Associations**

American Institute of Certified Planners (AICP) since May 1994
 American Planning Association (APA) member since November 1986
 Napa County Board of Directors member since 2012
 City of Napa, Tree Commission, 1990 through 1999
 Massachusetts Secondary Education Teaching Credential, June 1972



City Clerk

July 25, 2016

William Tuikka

Napa, CA 94558

Dear William,

Congratulations on your re-appointment as a member of the Cultural Heritage Commission. Your term is effective through June 30, 2018. Please let us know if there is anything our office can provide to you to make your role as a commission member easier.

As per City policy and the Common Law Principle of Incompatibility of Offices, please advise us of any other local commissions, committees and/or boards you now serve on, or are appointed to in the future.

We are here to help and hope that you will continue to enjoy the personal benefits that come from community service. Actively engaged citizens like you make a positive impact for our City. On behalf of the City Council, please accept their sincere appreciation for your willingness to stay actively involved in your community, and we hope your experience will be enjoyable and satisfying.

Sincerely,


Dorothy Roberts
City Clerk

cc: *Commission Manager*
Staff Secretary

Profile

Rodney

First Name

A

Middle Initial

Ready

Last Name

Email AddressStreet AddressSuite or Apt

Napa

City

CA

State

94558

Postal Code

Mailing Address (if different than Resident Address above)

Mobile:

Primary Phone

Home:

Alternate Phone

Length of Residence in the City of Napa:

34 years

Length of Residence in the County of Napa:

34 years

Registered to vote in the City of Napa?

☒ Yes ☐ No

Self

Employer

Certified Residential Appraiser

Job Title

Ethnicity

☒ Caucasian/Non-Hispanic

Interests & Experiences**Which Boards would you like to apply for?**

Cultural Heritage Commission: Submitted

Question applies to Cultural Heritage Commission, Planning Commission

Some Commissions and Boards require specific seats to be designated as "Design Professionals". Are you applying as a Design Professional? If you have marked "YES", please provide details under "Relevant Experience" in this section. You may attach your resume below.

☐ Yes ☒ No

Upload a Resume

Community Service Experience:

I coached youth baseball for six years and was a Little League board member for three years. Four years ago I attended the Citizens Academy Winter Session. During the past four years I have been a member of the Cultural Heritage Commission, I have joined Napa Landmarks assisting in four Candlelight Tours. In conjunction with Napa Land Trust membership I have been assisting with monitoring of Land Trust easement properties and during the past two years I have also been a volunteer hike leader. I have attended or several City Council, and Planning Commission meetings and closely follow issues facing the city in particular those affect historical structures and repurposing of some and restoration of others.

Education:

Attended Oakland Community College 1969 through 1970, the University of Michigan 1970 through 1972. Received BA Degree in English Literature from Long Beach State in 1985.

Other relevant experience or expertise:

Residential estate appraiser since 1984. Have keen interest in all types of residential architectural styles and history. Along with my desire to preserve the appearance of Napa structures having classical appeal, I firmly believe in retaining early residential designs developed through the 1940's wherever prevalent such as downtown areas south to Ash St and north past Lincoln to the RR tracks, the ABC Streets and Alta Heights, by maintaining land use and architectural styles consistent with their original development. I humbly offer this commission my commitment to serve with all the dedication I can muster.

Additional Questions

What is your understanding of the role and responsibility of this board?

Four years ago in response to this question, I replied in part as follows, "the board has numerous functions, but its chief function is to review design changes to Napa properties improved with structures having historical and architectural significance in support of Planning Commission decisions and recommendations". I have since come to understand, that in addition to a supportive role for the Planning Commission, the CHC also has a duty to Napa's citizens not only to protect and increase awareness of the towns dwelling's of cultural importance, but to do so in a such a way that will enhance everyone's understanding and appreciation of preservation guidelines. Just as important is to assist owners wishing to modify a historical resource to find a way achieve their aims to modernize or expand their protected structure in a manner striking a balance between their desires and adherence to Secretary of Interior Standards.

Have you ever attended a meeting of this board? If so, how many?

During my tenure, I have missed one commission meeting.

What duties of this board are most interesting to you?

In years past I wrote the following in answer; " I look forward to being able to acquire the knowledge necessary to competently review & analyze documentation prepared by owners required to maintain or gain historic status for their property" That statement, holds true for me today. And again while I have made strides in attaining this knowledge, I find that the more I learn, the more I understand how much I don't know.

What activities of this board are least interesting to you?

In my first application I responded to this question by saying, "Understanding applying federal, state, local, or private revenue avenues available for preservation of historic properties." I now find that for me, this is still the case. The Mills Act is fundamental to this process, but few projects funded in this manner come before the commission.

What programs or projects would you like to see improved or implemented?

I would like to see the commission contact all owner's of properties having been designated as Landmark properties with the purpose of giving them a gentle reminder of what obligations and responsibilities come with ownership of such a property. In doing so, city resources designed to assist them in this process would be made known to them. I would also very much like to see all Napa's potential historic districts formally recognized.

How would you approach improving these project(s) or program(s)?

I would first ask and rely on the city's Planning Department advice.

Are you involved in any organizations or activities that may result in a conflict of interest if you are appointed to this board?

No.

Please list two local references and their phone numbers:

Bill Stewart [REDACTED]; Bill is a long time resident of Sonoma and now retired, but still advised the firm he founded which is one of the most successful solar installation firms in Northern California. Mark Twitchell [REDACTED]; up until four years ago Mark had been a Napa resident since the early 1980 and was manager of one of Napa's largest residential propane gas suppliers. These two people, other than my wife, know me better than any one else I can think of.

How did you learn of this vacancy?

☒ Other

Rodney A. Ready,**Certified Residential Appraiser in the state of California, AR006877**[REDACTED]
Napa, CA 94581[REDACTED]
Napa, CA 94558

Office: [REDACTED]

Cell: [REDACTED]

EMPLOYMENT HISTORY

11/96-Present

Principal/Owner, Aegis Appraisal Services
Office located in Napa, CA since 1999.

Appraising only Napa County residential property since 2011.

6/89-10/96

Manager, **Aegis Appraisal Services**Review of staff appraisals. Appraisal of single family
and 2-4 unit residential income properties in Napa, Solano,
Sonoma, Contra Costa and Alameda Counties

9/86-5/89

Northern California Regional Appraisal Manager
GlenFed Mortgage Wholesale & Retail Operations
Field & desk review of appraisals performed by fee
appraisers in the nine-bay area counties. Fee
panel management.

5/83-9/86

Level I, II and III staff appraiser
Glendale Federal Savings and Loan
Duties included the appraisal of residential
and residential income property (2-12 units)
to fair market value in the Los Angeles area.

1/75-5/83

Real Estate Salesperson and Office Manager
Better Homes and Garden Real Estate
Long Beach, CA**EDUCATION**Bachelors Degree in English Literature
Continuing education in various areas of real estate appraisal
Uniform Standards of Professional Practice
Federal and State Laws and Regulations
Complex Residential Properties
Vineyard Valuation
Appraiser and Technology
Appraisal of Manufactured Housing, Subdivision Analysis. HUD Reporting Practices

Profile

Kara

First Name

Brunzell

Middle Initial

Last Name

Email AddressStreet Address

Suite or Apt

Napa

City

CA

State

94559

Postal Code

Mailing Address (if different than Resident Address above)

Home:

Primary Phone

Home:

Alternate Phone

Length of Residence in the City of Napa:

17 years

Length of Residence in the County of Napa:

24 years

Registered to vote in the City of Napa?

☒ Yes ☐ No

Brunzell Historical (self)

Employer

Principal/Architectural Historian

Job Title

Ethnicity

☒ Caucasian/Non-Hispanic

Interests & Experiences**Which Boards would you like to apply for?**

Cultural Heritage Commission: Submitted

Planning Commission: Submitted

Question applies to Cultural Heritage Commission, Planning Commission

Some Commissions and Boards require specific seats to be designated as "Design Professionals". Are you applying as a Design Professional? If you have marked "YES", please provide details under "Relevant Experience" in this section. You may attach your resume below.

☐ Yes ☒ No

Upload a Resume

Community Service Experience:

Community Action Napa Valley, current; Napa County Landmarks Board of Directors, 2019; Rock the Congress Napa Valley, 2018, web/social media director; Women's March Napa Valley, 2017-18, steering committee member; Napa County Library Adult Literacy program, 2017-2018, Tutor; Porchfest Organizing Committee, 2011; NVLA Food for Thought Student Nutrition Task Force Member, 2009-2010; NVLA After School Program, 2008-2010, Cooking Teacher; NVLA Student Reading Support Tutor, 2005-2010; St. Helena Preschool Fundraiser, 1999, Chairperson

Education:

Cal State Sacramento, MA Public History, 2010 UCLA, BA History, 1988

Other relevant experience or expertise:

As an architectural historian I work with historic buildings on a daily basis and have gained a strong knowledge of how the urban planning process. I am experienced at assisting clients with preserving historic character while expanding building footprints or improving modern function. I have had the opportunity to present technical results at many public meetings and have been able to observe first hand how large and small municipal governments operate. .

Additional Questions

What is your understanding of the role and responsibility of this board?

To provide input that assists City staff and assist in making decisions regarding historical resources.

Have you ever attended a meeting of this board? If so, how many?

Yes, 5

What duties of this board are most interesting to you?

Creating win-win situations for preservation and property owners.

What activities of this board are least interesting to you?

Working on controversial or politically divisive issues.

What programs or projects would you like to see improved or implemented?

I would like the CHC to work informally with applicants in advance of hearings in order to improve applicant satisfaction, allow the process to move faster, and create win-wins for applicants and preservation.

How would you approach improving these project(s) or program(s)?

I would participate in the above and lend my expertise.

Are you involved in any organizations or activities that may result in a conflict of interest if you are appointed to this board?

As a professional architectural historian, my work entails evaluating buildings for historical significance pursuant to CEQA or federal regulations. I have occasionally evaluated properties located within Napa city limits over the past decade. Although I have no ongoing financial relationship with any property owner or project proponent, I will recuse myself if appropriate from any discussions related to the small handful of properties I have previously worked on. If appointed, I will not seek nor will I accept additional projects within the City of Napa during my term of service.

Please list two local references and their phone numbers:

Liz Alessio [REDACTED] Stefanie Lamarca [REDACTED]

How did you learn of this vacancy?

☒ Other



BRUNZELL
HISTORICAL

KARA BRUNZELL

Owner/Principal Historian (2009 – Present)

Brunzell Historical

Napa, California, 94559

EXPERTISE

Kara Brunzell has practiced in the fields of history/architectural history, cultural resource management, and historic preservation since 2007. She has served as a consulting historian on historical research investigations for federal, state, and local governments. She is proficient in the recordation, inventory, and evaluation of historic resources using the National Register of Historic Places (NRHP) and the California Register of Historic Resources (CRHR) guidelines. Her expertise includes preparing reports and making recommendations regarding Section 106 review and compliance. Kara is experienced in applying the California Environmental Quality Act (CEQA) to both large-scale survey projects and individual historic-period resources. She has also worked in municipal preservation planning and non-profit historic preservation. Her non-profit work has included coordination of technical services, content creation and implementation for preservation education, and management of a preservation advocacy program. Kara qualifies as a historian and architectural historian under the United States Secretary of the Interior's Professional Qualification Standards (as defined in 36 CFR, Part 61).

EDUCATION

California State University, Sacramento, MA, Public History
UCLA, BA, History

CONTINUING EDUCATION

HUD's Office of Environment and Energy: Historic Preservation and HUD, May 2014
California Preservation Foundation Workshops:
The Environmental Benefits of Reuse, August 2011
Preservation Ordinances, April 2011
The Use and Application of the California Historical Building Code, July 2009

SELECT PROJECT EXPERIENCE

Historic Resource Evaluation of Five Buildings at Oakland International Airport; Port of Oakland, CA (2018). Kara evaluated a mid-twentieth century maintenance hangar and four World War II-era buildings at the Oakland Airport for NRHP and CRHR eligibility. None were recommended eligible for historic listing.

State Printing Plant and Textbook Warehouse Relocation and Building Demolition Project; California Department of General Services; Sacramento, CA (2017-Present). Kara prepared a California Public Resources Code 5024 evaluation of the mid-twentieth century printing plant as well as greenhouse and maintenance buildings located on the property. This included an evaluation for NRHP and CRHR eligibility, and eligibility as a California Historical Landmark (CHL), along with an accompanying report.

Interlake Tunnel Project: Monterey County Water Resources Agency; Monterey and San Luis Obispo Counties, CA (2017-Present). Kara evaluated the San Antonio Dam in Monterey and San Luis Obispo Counties for NRHP and CRHR eligibility. She produced a historic context of the water resources agency and a chronology of the dam's development, which was recommended ineligible for historic listing.

Department of Motor Vehicles Fell Street 5024 Evaluation; California Department of General Services; San Francisco, CA (2017). Kara prepared a California Public Resources Code 5024 evaluation of the mid-twentieth century building. This included an evaluation for NRHP and CRHR eligibility, and eligibility as a California Historical Landmark, along with an accompanying report. The building was found to be ineligible and the State Historic Preservation Office concurred with the determination.

NRHP Nomination of Sperry Flour Company, Vallejo, Solano County, CA (2017). Kara prepared the NRHP nomination packet including historic context, the 10-900 form, historic figures, and photo-documentation of the historic flour mill. Located on the eastern shore of Mare Island Strait, flour was milled on the site with few interruptions from 1869 through 2004. The district's most important resources are its World War I-era mill buildings, which were designed by engineer Maurice Couchot represent an important early use of reinforced concrete in large industrial buildings. It was added to the NRHP in late 2017.

Landfall Renovation Project, Review of Proposed Design; Belvedere, Marin County, CA (2016 – 2017). Kara reviewed a proposed renovation project on a historic landmark residence in Belvedere for conformance with the Secretary of Interior's Standards for the Treatment of Historic Properties. Originally constructed in 1891, the house is associated with noted architects Albert Farr and Carr Jones. Kara worked with the architect to shape design solutions that allowed for the property's continued use while avoiding the destruction of character-defining features. She authored a report outlining important elements of its design and recommending a treatment plan.

Los Gatos Creek Watershed Maintenance Program; San Jose Water Company, Santa Clara County, CA (2016). Kara evaluated elements of the San Jose Water Company for NRHP and CRHR eligibility as a non-contiguous historic district. She produced a detailed historic context of the private water company, which has been active from 1866-present, and recorded nine water conveyance structures, several of which were recommended eligible for listing because of their association with the historically significant utility.

Redwood Agricultural Inspection Station Repairs; California Department of General Services, Del Norte County, CA (2016). Kara evaluated the Redwood Agricultural Inspection Station in rural Del Norte County for NRHP, CRHR, and California Historic Landmark (CHL) eligibility. The unique building was designed by California State Architect Alfred Eichler at the start of his career and constructed in 1931, and was recommended eligible for NRHP and CRHR listing based on its significant architecture.

Jesse Unruh Building Roof Replacement Project; Department of General Services, Sacramento, CA (2016). Kara acted as architectural historian for this project, which involved project review for a proposed roof repair project on the NRHP-listed Jesse Unruh State Office Building on the Capitol Mall in Sacramento. She performed a field visit to and prepared a letter to SHPO detailing condition of roof skylights.

Historic Resource Survey and Evaluation of Soares Ranch; Union City, Alameda County, CA (2016). Kara evaluated a historic-period ranch complex comprising four dwellings and a number of associated agricultural buildings for NRHP and CRHR eligibility. The property was historically significant but lacked the integrity required for historic listing at any level (Brunzell Historical).

Architectural/Historical Evaluation of the Brown Ranch; Sonoma, CA (2016). Kara conducted an Architectural/Historical evaluation of a historic-period ranch complex in Sonoma for NRHP and CRHR eligibility. Although the property was the site of the original Mission vineyard in Sonoma, its current buildings and vineyards are not associated with the Mission era, and the property was recommended ineligible for historic listing.

Architectural/Historical Evaluation and Cultural Resources (Archeological and Paleontological) Assessment of a Motel at 11615 - 11645 San Pablo Avenue, El Cerrito, Contra Costa County, CA (2016). Kara acted as Project Manager and Architectural Historian on an Architectural/Historical evaluation and Cultural Resources Assessment of a historic-period motel property in El Cerrito.

Section 106 and CEQA Compliance Tasks for the GRID Roads Bookends Project, American Canyon, Napa County, CA (2016). Kara acted as Architectural Historian on Section 106 and CEQA Compliance Tasks for the GRID Roads Bookends Project in American Canyon, California.

Architectural/Historical Evaluation and Review of Proposed Project for Beltane Ranch; Sonoma County, CA (2015-2016). Kara conducted an Architectural/Historical evaluation and review of proposed project for Beltane Ranch near Glen Ellen in rural Sonoma County. The ranch complex is significant under several contexts including the development of winemaking in Sonoma County. Its most important context is its association with African-American San Francisco pioneer Mary Ellen Pleasant (known as the mother of civil rights in California) who owned the property in the 1880s and built its architecturally significant ranch house. Kara reviewed a proposed alteration to the barn for conformance with the Secretary of Interior's Standards for the Treatment of Historic Properties and produced a recommended treatment plan.

Architectural/Historical Evaluation and Archaeological Survey of Santa's Village in Skyforest, San Bernardino County, CA (2015). Kara acted as Architectural Historian on an Architectural/Historical evaluation of a historic-period theme park in near Lake Arrowhead in the San Bernardino Mountains. The project also required archaeological investigation of the site.

Historic Context Survey of Davis; Yolo County, CA (2014-2015). Kara managed a Historic Context Update Survey for the City of Davis. The project, which was funded by SHPO's Certified Local Government grant program, included a detailed historic context of the years between 1940 and 1975, field survey of previously un-surveyed neighborhoods developed before 1975, DPR 523 update, and new DPR 523 District forms for roughly 150 subdivisions. Kara collaborated with the Davis Historic Resource Management Commission in order to leverage the impact of the limited grant budget, leading a team of community volunteers in a field survey effort that covered most parcels in a seven square-mile area. The final product incorporated the results of new research and field survey with the City's three existing Historic Context Statements and updated DPR 523 forms for over 300 resources on its Historic Resource Inventory.

Historic Architectural Evaluation of the Delta Research Station; Rio Vista, Solano County, CA (2014-2015). Kara authored a historical architectural evaluation of a former USACE base located in Rio Vista, California. The site, which was originally called the U.S. Engineers Storehouse, was established in 1911 by USACE as a repair and storage facility associated with the Sacramento River Flood Control Project. Kara evaluated the 20 extant buildings and structures on the site and recommended them eligible to the CRHR as a historic district.

Historic Context Survey and Historic District Nomination for the Newton Booth Neighborhood; Sacramento, CA (2013-2014). Kara prepared a historic context statement and historic district nomination for the Newton Booth neighborhood in Sacramento. The project included extensive recordation of the primarily residential neighborhood, a detailed neighborhood history, determination of contributing status for each property within the district, DPR 523 forms for individually eligible landmarks, and preparation of a Sacramento Register nomination form for the historic district. Kara worked with interested parties including the City of Sacramento's Preservation Director, the Sacramento Old City Association, and the Newton Booth Neighborhood Association in order to incorporate community input into the project.

Section 106 Compliance Tasks for the Pavement Reconstruction Project, Belmont, San Mateo County, CA (2014). Kara acted as Architectural Historian on Section 106 Compliance Tasks for a California Department of Transportation paving project.

Historic Buildings and Structures Inventory for Fort Hunter Liggett, Jolon, Monterey County, CA (2012-2013). Kara participated in the preparation of an update to the existing Fort Hunter Liggett inventory of historic buildings. The project included the recordation and evaluation of twenty historic-period buildings located within the boundaries of Fort Hunter Liggett. None of the buildings were recommended eligible for the NRHP of the CRHR.

Schulte Road Bridge Replacement Project Cultural Mitigation Measures; Monterey County, CA (2012). Kara acted as Project Manager and Principal Historian for Cultural Mitigations of the Schulte Road Bridge Replacement Project in rural Monterey County. The project EIR recommended detailed recordation of the bridge, which dated from the immediate postwar era, as a partial mitigation for the destruction of a historic resource. Kara authored a HAER-type report on the bridge and coordinated the preparation of permanent archival photographic documentation of the bridge.

Postal Historic Structure Report; Nineteen California Locations, (2012). Kara contributed to the preparation of a Postal Historic Structure Report for nineteen California post offices. All nineteen historic-period post offices, seven of which had previously been NRHP-listed, were slated for closure by the United States Postal Service. Project deliverables included current condition assessments and documentation of character-defining features. In addition, post offices which had not previously been listed on local, state, or national historic registers were evaluated for historic significance according to National Register of Historic Places and California Register of Historical Resources criteria.

Local Historic Register Nomination of Main Street Bridge, Napa, Napa County, CA, (2010). Ms. Brunzell performed research and authored a report in order to list Napa's Main Street Bridge as a local landmark. The bridge was adopted as a City of Napa Landmark by the City Council.

Environmental Impact Report, California High-Speed Train Project, Fresno to Bakersfield Section, CA, (2010). Kara performed research on a large number of properties adjacent to the High Speed Train corridor as a member of the team that produced the EIR.

Profile

Stephen

First Name

Bell

Last Name

Middle Initial

Email AddressStreet Address

Suite or Apt

Napa

City

CA

State

94558

Postal Code

Mailing Address (if different than Resident Address above)

Home:

Primary Phone

Home:

Alternate Phone

Length of Residence in the City of Napa:

12 years

Length of Residence in the County of Napa:

12 years

Registered to vote in the City of Napa?

☒ Yes ☐ No

Meritage

Employer

Cook

Job Title

Ethnicity

☒ Caucasian/Non-Hispanic

Interests & Experiences**Which Boards would you like to apply for?**

Cultural Heritage Commission: Submitted

Question applies to Cultural Heritage Commission, Planning Commission

Some Commissions and Boards require specific seats to be designated as "Design Professionals". Are you applying as a Design Professional? If you have marked "YES", please provide details under "Relevant Experience" in this section. You may attach your resume below.

☐ Yes ☒ No

Upload a Resume

Community Service Experience:

I was a chef for the Beacon house in Pacific Grove Ca. before I moved to Napa.

Education:

Cupertino high school and De Anza college

Other relevant experience or expertise:

My cultural background of being raised in the growing Bay Area and knowing the history of our area and how important it is to provide this commission for our area.

Additional Questions

What is your understanding of the role and responsibility of this board?

To provide a place for discussion.

Have you ever attended a meeting of this board? If so, how many?

Only televised ones.

What duties of this board are most interesting to you?

Preserving and provding cultural history for Napa and our outlying parts of our county!

What activities of this board are least interesting to you?

Sharing information for the time being.

What programs or projects would you like to see improved or implemented?

I do not know the agenda.

How would you approach improving these project(s) or program(s)?

Careful thought and research.

Are you involved in any organizations or activities that may result in a conflict of interest if you are appointed to this board?

No

Please list two local references and their phone numbers:

Karl Malinowski [REDACTED] Jeff Moore [REDACTED]

How did you learn of this vacancy?

☒ Internet

Profile

Joshua

First Name

Q.

Middle Initial

Satten

Last Name

Email AddressStreet AddressSuite or Apt

Napa

City

CA

State

94558

Postal Code

Mailing Address (if different than Resident Address above)

Mobile:

Primary Phone

Home

Alternate Phone**Length of Residence in the City of Napa:**

5 years

Length of Residence in the County of Napa:

5 years

Registered to vote in the City of Napa?

☒ Yes ☐ No

Wipro Limited

Employer

Business Architect & Practice
Lead

Job Title

Ethnicity

☒ Other

Interests & Experiences**Which Boards would you like to apply for?**

Community Development Block Grant Citizens' Committee (CDBG): Submitted

Cultural Heritage Commission: Submitted

Public Art Steering Committee: Submitted

Question applies to Cultural Heritage Commission, Planning Commission

Some Commissions and Boards require specific seats to be designated as "Design Professionals". Are you applying as a Design Professional? If you have marked "YES", please provide details under "Relevant Experience" in this section. You may attach your resume below.

☐ Yes ☒ No

Question applies to Public Art Steering Committee

1 of 5 Members MUST be a Visual Arts Professional. Are you applying as a Visual Arts Professional?

☐ Yes ☒ No

Question applies to Public Art Steering Committee

2 of 5 Members MUST be Practicing Professional Artists in any medium. Are you applying as a Practicing Professional Artist?

☐ Yes ☒ No

Upload a Resume

Community Service Experience:

Global Kids, Advisory Board Member - 2006-2012 AIM High, Mentor & Team Leader- 2013-2015 Northern Trust Centennial Fund, Board of Directors - 2014-2015 Chamberlain High School, Mentor - 2016-2017 Arts Council Napa Valley, Board Member - 2017-2018 Napa Optimist Youth Basketball, Volunteer Coach - 2016-current Mt. Calvary Baptist Church, Usher & Volunteer - 2017-current

Education:

Fordham University, Bachelor's of Arts, Double Major in Economics and Sociology, Graduated January 2005 CUNY - Hunter College, Master's of Science in Urban Studies, Double concentration in Real Estate Development and Non-for-Profit Management, Graduated January 2006 Fordham University, Executive Master's of Business Administration, Double Major in Finance and General Management, Graduated January 2010

Other relevant experience or expertise:

Former Real Estate Agent Former Property Manager (New York City Housing and Preservation Department) Trainer Mediator and Facilitator Long-time Arts Patron

Additional Questions

What is your understanding of the role and responsibility of this board?

The CDBG Board administers local CDBG loans as granted by HUD. The Cultural Heritage Commission oversees historical preservation. The Public Art Steering Committee is responsible for the Napa Public Art Master Plan.

Have you ever attended a meeting of this board? If so, how many?

No

What duties of this board are most interesting to you?

The CDBG interests me because I've got formal training in Urban Studies and HUD and believe this is important for our town's overall development, The Cultural Heritage commission interests me because I feel historical preservation is the cornerstone to our society's self-respect. I have a strong interest in history and the arts and care deeply for accurately and effectively maintaining & growing our town's heritage. The Public Arts Steering Committee interests me because I'm bi-racial and my children are tri-racial, we're from New York and Chicago, and we've spent our lives around and heavily involved with the arts. I feel the arts, equality, and cultural expression all go hand-in-hand and can make Napa a happier, more inclusive society for both tourists and residents.

What activities of this board are least interesting to you?

None, I've been on several boards over the past 15 years and it's a process, you must take the good with the bad in order to make a difference. I have differing passions and each of these boards would interest me in different ways.

What programs or projects would you like to see improved or implemented?

I don't have specific ideas at this time outside of ensuring racial and cultural inclusion and positivity amidst societal optimism and happiness. Good boards and commissions help communities in real ways.

How would you approach improving these project(s) or program(s)?

I'd have to get to know the board better 1st after appointed.

Are you involved in any organizations or activities that may result in a conflict of interest if you are appointed to this board?

No

Please list two local references and their phone numbers:

Jason Luros [REDACTED] Holly Mo [REDACTED]

How did you learn of this vacancy?

☒ Flyer

- Company spokesperson; quoted in over 60 articles, published and co-authored over 20 papers, and featured panelist/presenter at many conferences. Topics included IBOR, Blockchain, FinTech.
- Lead architect on client transformation projects related to Distributed Ledger, Robo-Advisory, Derivatives Trade Support, Asset Management Marketing Operations, Front Office Investment Management, Regulatory Advisory, and various Innovation support.
- Lead architect on the 1st US Federal Government Blockchain implementation (with the GSA).
- Active industry participant with ISDA, SIFMA AMF, ISITC, SWIFT, MBA, EDM, and more.

AUGUST 2011 – APRIL 2015, CHICAGO, IL

SENIOR VICE PRESIDENT, ENTERPRISE BUSINESS ARCHITECTURE, STRATEGY LEAD & LAB PARTNER, NORTHERN TRUST CORPORATION

1 of 2 Enterprise Business Architects responsible for Northern Trust's firm-wide Target Operating Model. Led business development strategy, operations redesign, and innovation across the banks business lines with the goal of holistic framework creation.

- Program ownership leading operating model design, functional architecture, product design, and vendor contract negotiations across firm-wide transformations in derivative operations, valuations, asset management infrastructure, fund/institutional outsourced middle office services, and wealth management OMS.
- As North American Head of Market Advocacy & Research and a founding member of the Northern Trust Innovation Lab, led FinTech research and education around transformative concepts including the introduction and conceptualization company-wide of Blockchain, Robo Advisory, Machine Learning, Artificial Intelligence, Predictive Analytics, Neo-Banking, Crypto-Currencies, Payment Innovation, Digital Transformation, and more.

SENIOR VICE PRESIDENT, GLOBAL DERIVATIVES PRACTICE, TRANSFORMATION LEAD, NORTHERN TRUST CORPORATION

Head of transformation leading design of 5+ year firm-wide, global derivatives transformation program across combined 600+ person organization with 200+ clients located across NA, EMEA, APAC, and Oceania across trade capture and support, pricing and valuations, collateral, reconciliations, and regulatory support (e.g. Dodd-Frank and EMIR) as related to OTC, cleared, and ETD derivatives.

- Led Proof of Value (POV) creation and assessment with transformation goal to realign and concentrate services and processes across all derivative-related servicing areas throughout bank including fund administration, investment operations outsourcing, and custody, as well in support of accounting, fund accounting, compliance, broker-dealer support, proprietary trading, and regulatory reporting.
- Senior stakeholder responsible for all transformation workstreams including architecture, data, service comparison, client segmentation, and internal communications.
- Company spokesperson and industry representative for derivatives, collateral management, fund operations, regulatory support, and clearing.

SENIOR VICE PRESIDENT, GLOBAL HEAD OF OTC STRUCTURED PRODUCTS, PRACTICE LEAD & OPERATIONS HEAD, NORTHERN TRUST HEDGE FUND SERVICES

Department/Division Head; most senior point of contact regarding OTC structured products & industry issues for 100+ global clients collectively representing \$300B+ AUM. Grew & managed 80+ person global organization including 5 direct reports supporting all OTC operational and regulatory processes including trade support, trade reporting, reconciliations, documentation, and settlements.

- Created global 24/6 coverage model providing support for all executed products across North America, Europe, Asia, and Oceania by growing team in Chicago and establishing teams in Hong Kong, New York, Dublin, and Bangalore. Launched OTC University training program.
- Minimal attrition amidst leading revamping, documentation, and normalization of operational trade support, implementation, and reconciliation processes as well as SAS70, SOC-1, SSAE16 requirements.

- Designed and launched global regulatory support services for Dodd-Frank, ASIC, & EMIR support for trade repository reporting & portfolio reconciliation. Co-designed services for Form PF & FATCA.
- Integrated & implemented top trade support platforms including ICELink, MTM, DS Match, and Traiana
- Led onboarding and testing of numerous FCM's and SEF's for both cleared IRS and CDS across ICE, LCH, and CME across middleware platforms including MarkitWire, ICELink, and Bloomberg VCON.
- Active industry representative for numerous funds and asset managers.
- Support and thought leadership throughout sales and marketing processes. Notable clients & large wins included Citadel, Bridgewater, and the Government of Singapore.

Other leadership roles held:

- ❖ Hedge Fund Services Executive Leadership Board
- ❖ Corporate & Institutional Services Diversity & Inclusion Advisory Council, Chair
- ❖ Hedge Fund Services Culture Committee, Chair
- ❖ Northern Trust Centennial Fund, Board Member

SEPTEMBER 2010 – AUGUST 2011, CHICAGO (ILLINOIS)

DIRECTOR, HEAD OF OTC STRUCTURED PRODUCTS, PRACTICE LEAD & OPERATIONS HEAD, OMNIUM LLC (CITADEL INVESTMENT GROUP)

As of August 1, 2011, Omnimium LLC was fully acquired by Northern Trust Corporation and re-named Northern Trust Hedge Fund Services

OTC Operations Head for \$25 billion hedge fund and most senior point of contact regarding OTC structured products & industry issues for 30+ global clients collectively representing \$80B+ AUM. Grew & managed 30+ person global organization including 3 direct reports supporting all OTC operational and regulatory processes including trade support, trade reporting, reconciliations, documentation, and settlements. **See above.**

- Led development of OTC and clearing and regulatory support.
- Active industry representation & leadership for working groups around OTC, Clearing, and regulatory initiatives with ISDA, SIFMA AMF, Markit, ICE, CME, and LCH.

AUGUST 2006 – AUGUST 2010, MANHATTAN (NEW YORK)

MANAGER OF FUND OPERATIONS, INVESTMENT MANAGEMENT ANALYSIS AND SUPPORT, OPERATIONS HEAD, BLUEMOUNTAIN CAPITAL MANAGEMENT LLC

Operations head for \$20 billion hedge fund. Managed all day-to-day operational processes globally around trade booking, trade support, reconciliations, data management, documentation, and settlements across 8 person group located in New York and London and outsourced staff of 40+.

- Managed 1 of the largest non-bank OTC trading books in the world; global coverage providing support for all executed products across North America, Europe, and Asia including OTC & ETD Derivatives, Securities, Loans, Repos, and other special or complex structured financial products.
- Responsible for all trade breaks, audits, new counterparty onboarding, contract execution, vendor due diligence, and security master management.
- Numerous trade execution, trade matching & management, settlement, prime brokerage, cash custodian and other middleware & platform implementations including DS Match, T-Zero (ICE Link), TradeWeb, MarketAxess, MarkitWire (SwapsWire), Real Tick, Trade Smart, CME Clearport
- Designed and implemented processes supporting coupons, swap expiries, credit events, corporate actions, collateral movements, FX funding, repo, and overnight investments.
- Active industry representation for working groups and initiatives for ISDA & DTCC.

JUNE 2005 – AUGUST 2006, MANHATTAN, NY

OTC DERIVATIVES DOCUMENTATION SPECIALIST, INVESTMENT BANK, OPERATIONS SPECIALIST, BEAR, STEARNS & CO. INC.

JUNE 2001 – DECEMBER 2005, BROOKLYN, NY

**OTC INTEREST RATES DERIVATIVES DOCUMENTATION ANALYST, INVESTMENT BANK,
OPERATIONS ANALYST, JPMORGAN CHASE & CO.**

EXECUTIVE TRAINING PROGRAM, VARIOUS ROLES, JP MORGAN CHASE & CO.

JPMorgan Chase Thomas G. LaBrecque Smart Start Scholarship Recipient: 1 of 40 high school students out of 10,000+ applicants to win a full college scholarship with specialized rotational internship, and work training.

Financial Products Expertise includes:

- ❖ **Securities** - bonds, treasuries, warrants, equities, MBS, repos
- ❖ **Listed Derivatives** – futures, options; commodities & equity
- ❖ **Credit Derivatives** – vanilla, cleared, structured/bespoke, ABS/ABX/CMBX, Loan CDS, swaptions
- ❖ **Equity Derivatives** – TRS, CFD, structured, variance, volatility, correlation, dispersion, dividend, options
- ❖ **Interest Rate Derivatives** – vanilla, cleared, FRA, structured, caps, floors, inflation, basis, OIS, IO/PO, zero coupon, swaptions
- ❖ **FX Derivatives** – swaps, forwards, spots, option, structured (binary/digital)
- ❖ **Other Derivatives Products & Asset Classes** – Cryptocurrencies, Energy OTC, Commodity OTC, Insurance-Linked & Weather OTC, ASCOT's, Private Equity, Loans/CLO/CDO

System & Platform Expertise includes:

Markit Thinkfolio/EDM, **Omnium**, MarkitTradeManager, **MarkitWire**, SimCorp Dimension, TradeWeb, **ICE Link**, ICE Clear, **CME Clearport**, Bloomberg AIM/VCON/MARS, **Charles River**, Misys Summit, **Quote Vision**, TradeWeb, **MarketAxess**, Real Tick, **HFS Passport**, Kondor, **TriOptima**, DTCC (Trade Repository), **Scrittura**, Cash Register+, **Traiana**, SWIFT, **TrueEx**, others

EDUCATION

AUGUST 2010

**EXECUTIVE MASTER OF BUSINESS ADMINISTRATION, FINANCE AND GENERAL
MANAGEMENT, FORDHAM UNIVERSITY (GABELLI SCHOOL OF BUSINESS)**

JANUARY 2006

**MASTER OF SCIENCE IN URBAN AFFAIRS, CUNY – HUNTER COLLEGE (CITY
UNIVERSITY OF NEW YORK)**

JANUARY 2005

BACHELOR OF ART, ECONOMICS & SOCIOLOGY, FORDHAM UNIVERSITY

REFERENCES

Available upon request from all previous employers, past clients, and other partners

WHITEPAPERS, BLOGS, & OTHER AUTHORED WORK

- ❖ **The Hedge Fund Law Report** - [Don Muller and Joshua Satten of Northern Trust Hedge Fund Services Discuss the Impact of OTC Derivatives Reforms on Hedge Fund Managers](#), February 7, 2013
- ❖ **Fin Alternatives: Hedge Fund & Private Equity News** - [Northern Trust Helps Hedge Funds Navigate Derivatives Regulations](#), July 8, 2014
- ❖ **Crossings / Sapient Global Markets** - [Investment Book of Record: Improving Decision Support and Transparency in an Increasingly Complex Environment](#), April 2016
- ❖ **The Trade Derivatives** - [Searching For An Identity: OTC Identifiers](#), July 2016
- ❖ **Global Investor Magazine** - [OTC derivatives: One ID Doesn't Fit All](#), October 5, 2016
- ❖ **Sapient Synapse** – [The Four Battles Asset Managers Need to Overcome to Fast Track Data Programs](#), November 7, 2016
- ❖ **LinkedIn** – [The Four Battles Asset Managers Need to Overcome to Fast Track Data Programs](#), November 21, 2016
- ❖ **LinkedIn** – [OTC Identifiers: One Size Doesn't Fit All Products, All Products Need To Fit](#), January 9, 2017
- ❖ **Data Management Review** – [Blockchain: Are We Nearly There Yet?](#), January 19, 2017
- ❖ **LinkedIn** – [Blockchain: Are We \[nearly\] There Yet?](#), January 27, 2017
- ❖ **Crossings / Sapient Global Markets** – [Optimizing the Investment Process: Leveraging IBOR for Alpha Generation](#), January 2017
- ❖ **Markets Media** – [Optimizing the Investment Process](#), February 8, 2017
- ❖ **Futures and Options World** – [Optimising the Investment Process with a True IBOR](#), February 24, 2017
- ❖ **Sapient Global Markets** – [Is it Time to Rethink Your IBOR Strategy?](#), March 30, 2017
- ❖ **Perspectives / Sapient Global Markets** – [Industry Study - No Two the Same: Adopting Unique Approaches to Support Derivatives Growth](#), March 2017
 - **Related Press Reference & Article:** [Asset managers turn to derivatives - report](#)
- ❖ **Sapient Global Markets** – [How Asset Managers can Address the Challenging Derivatives Landscape](#), April 11, 2017
- ❖ **FinReg Alert** – [Optimizing the Investment Process: Leveraging IBOR for Alpha Generation](#), April 13, 2017
- ❖ **LinkedIn** - [Blockchain: where are we headed? More BaaS & Less Generalization](#), April 24, 2017
- ❖ **LinkedIn** - [ESMA & Blockchain: How NOT to Approach Regulation](#), April 26, 2017
- ❖ **LinkedIn** - [About-face Embrace, Misplaced Retrace: Proposed Changes to EMIR](#), May 17, 2017
- ❖ **Sapient Global Markets** – [The Future of IBOR: Leveraging Blockchain as the Fabric for Alpha Generation and Investment Support](#), August 2017
- ❖ **Sapient Global Markets** – [Blockchain: Are We Nearly There Yet? And, More Importantly, Where Are We Going?](#), September 2017
- ❖ **Traders** – [“Leveraging Innovation in RegTech](#), October 5, 2017
- ❖ **LinkedIn** - [Digital Banking Focus: The Impacts & Effects of Robo-Advisors for Asset Managers](#), October 23, 2017
- ❖ **ACT-IAC** - [Enabling Blockchain Innovation in the U.S. Federal Government](#), October 2017
- ❖ **FundForum 365** - [Future of Asset Management: What do AI chatbots, value-metrics, sustainability and blockchain for distribution have in common? They are coming to your doorstep in 2018 to give you a wakeup call.](#), January 2018